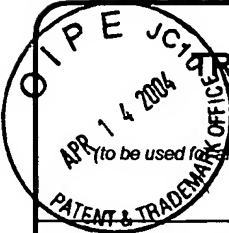


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 <b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/714,575
	Filing Date	November 14, 2003
	First Named Inventor	Stelios Tzannis et al.
	Art Unit	1644
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	0180.00

**ENCLOSURES** (Check all that apply)

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>19 Cited References |
|--|--|--|

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Mark Wilson; NEKTAR THERAPEUTICS
Signature	<i>Mark A. Wilson</i>
Date	April 12, 2004

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Washington, DC 20231 on this date:

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## PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of: Stelios Tzannis et al.

Atty. Docket No.: 0180.00

Application No.: 10/714,575

**Examiner:** Unassigned

**Filed: 11/14/2003**

Group Art Unit: 1644

Title: ANTIBODY-CONTAINING  
PARTICLES AND COMPOSITIONS

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Minnie Cotter

## **INFORMATION DISCLOSURE STATEMENT**

**UNDER 37 CFR §1.56, §1.97 and §1.98**

**Assistant Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**

Dear Sir:

The references listed in the attached Form PTO/SB/08A and B may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§ 1.56, 1.97, and 1.98. The Examiner is requested to make these citations of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

Copies of the references are enclosed, as required under 37 CFR §§1.98.

This Information Disclosure Statement is being timely filed under 37 CFR §§1.97 and is being filed:

Attorney Docket No. 0180.00

☒ within three months of the filing date of a national application; within three months of the date of entry of the national stage as set forth in section 1.491 in an international application; or before the mailing date of a first Office action on the merits (whichever event occurs last)

☐ Attached is Check No. \_ in the amount of \$0.


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Respectfully submitted,

NEKTAR THERAPEUTICS

Dated: April 12, 2004

By:   
Mark Wilson  
Reg. No. 43,275

San Carlos, CA 94070  
(650) 631-3100

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

2

**Complete if Known**

Application Number	10/714,575
Filing Date	11/14/2003
First Named Inventor	Stelios Tzannis et al.
Art Unit	1644
Examiner Name	Unassigned
Attorney Docket Number	0180.00

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US- 2002/0136719	09/26/2002	Shenoy et al.	
	AB	US- 4,418,152	11/29/1983	Hosaka et al.	
	AC	US- 4,591,571	05/27/1986	Kuboyama et al.	
	AD	US- 4,916,163	04/10/1990	Ni	
	AE	US- 5,707,627	01/13/1998	Gristina et al.	
	AF	US- 5,902,565	05/11/1999	Cox et al.	
	AG	US- 5,955,108	09/21/1999	Sutton et al.	
	AH	US- 6,019,968	02/01/2000	Platz et al.	
	AI	US- 6,165,463	12/26/2000	Platz et al.	
	AJ	US- 6,231,851	05/15/2001	Platz et al.	
	AK	US- 6,267,958	07/31/2001	Andya et al.	
	AL	US- 6,284,282	09/04/2001	Maa et al.	
	AM	US- 6,372,258	04/16/2002	Platz et al.	
		US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	AN	CA 2,097,932	04/12/1993	Toray Industries, Inc.		
	AO	WO 00/13668	03/16/2000	Quadrant Healthcare Ltd.		
	AP	WO 01/66754	09/13/2001	Cambridge Antibody Tech. Ltd.		
	AQ	WO 97/48485	12/24/1997	Powderject Res. Ltd.		

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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/714,575
				Filing Date	11/14/2003
				First Named Inventor	Stelios Tzannis et al.
				Art Unit	1644
				Examiner Name	Unassigned
Sheet	2	of	2	Attorney Docket Number	0180.00

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AR	BYLER ET AL., "Effect of Sucrose on the Thermal Denaturation of a Protein: An FTIR Spectroscopic Study of a Monoclonal Antibody," Fourier Transform Spectroscopy: 11th International Conference, The American Institute of Physics (1998), pp. 332-335.	
	AS	TASCHNER ET AL., "Modulation of Antigenicity Related to Changes in Antibody Flexibility upon Lyophilization," J. Mol. Biol. (2001) 310, pp. 169-179.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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